



Nation's Largest 403(b) & 457(b) Independent Third Party Administrator

Experience

Since 1996, OMNI has provided comprehensive independent third party administration with world-class compliance, remittance and customer support services. Today, nearly 3,000 plans rely on our state-of-the-art compliance software platform as well as our comprehensive administrative services to better accommodate current, retired, and part-time participants.

OMNI remits to 250 investment providers on behalf of 360,000 contributing participants resulting in over 1.7 billion dollars in contribution funds forwarded to investment providers annually. Our team approach allows us to handle approximately 121,000 plan transactions, 136,000 Salary Reduction Agreements and 172,000 calls a year. OMNI successfully handles numerous IRS audits for clients on a regular basis.

Protection

With increasing and ever-changing IRS regulations, keen oversight is crucial. A failure to understand regulation and handle compliance issues properly could prove costly. In addition to providing input on any concerns you may have, OMNI maintains real-time awareness of the regulatory environment, helping to ensure that your plan is kept up to date and compliant. OMNI provides in-house legal counsel free of charge.

Our client services agreement and the investment provider information sharing agreement (ISA) provide client indemnification, which includes errors and omissions insurance that protects an employer in the amount of \$5,000,000, a crime policy in the amount of \$10,000,000, and a cyber security policy in the amount of \$2,000,000.

Service and Support

OMNI utilizes integrated support teams to develop, protect and manage your plan. Our services include: Plan document creation and customization, transaction processing, compliance monitoring, in-house call-center, online forms submission and dedicated compliance and remittance specialists assigned specifically to your account. OMNI will also take the lead in the event of an IRS audit.

Education

Our Financial Wellness Center serves as an educational resource providing a variety of tools to assist in learning more about retirement savings and managing personal finances. Calculators, videos, and informative content are available to help guide the retirement planning process.

The Financial Wellness Center is a resource that also enables a plan sponsor to better satisfy the IRS regulation regarding Universal Availability.



To learn more about OMNI contact:

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WHY OMNI?

- > Over 20 years of TPA experience, servicing nearly 3,000 plans
- > In-house legal counsel that provides full support in the event of an IRS audit
- > Full indemnification for plan administration errors
- > Plan transaction sign-offs
- > In-house call center
- > Online forms & transactions
- > Dedicated account specialists to handle any and all concerns
- > Education for employees on the benefits of retirement savings
- > Multiple materials to fully satisfy the IRS regulation regarding Universal Availability



www.omni403b.com

OMNIP3 Preferred Provider Program

A multi-provider platform - enhancing the relationship between employers and their 403(b) providers.

OMNI's Preferred Provider Program (P3™) presents the **opportunity to eliminate your fees for 403(b) plan** administration, as the investment providers who have qualified for P3 status have agreed to assume the costs of plan administration on your behalf.

In addition to the financial benefit, P3 makes available investment providers who have been independently vetted by a committee of your industry peers.

The committee evaluates a myriad of criteria some of which includes errors and omissions insurance, indemnification policies, pending litigation and customer service design. As a result, P3 can help bolster the already significant protections provided in OMNI's Information Sharing Agreement (ISA), which indemnifies plan sponsors against potential lapses in compliance by participating investment providers.

An aggregator or platform company is a provider that offers the investment vehicles of other providers.

Please note that investment providers not found on the list of P3 providers usually can be accessed through one or more "aggregators".

An aggregator typically offers both loans and financial hardship distributions whereas certain popular providers may not. In short, although P3 limits new accounts to your selected P3 investment providers, the fact is that almost all providers remain accessible.

OMNIP3 Preferred Providers

Aggregators are highlighted with an asterisk*

- > **AIG Retirement Services (formerly VALIC)**
- > **American Century Investments**
- > **American Fidelity Assurance Co.**
- > **Ameriprise Financial Services, Inc.**
- > **ASPire Financial Services LLC***
- > **AXA Equitable Life Insurance Co.**
- > **Chemung Canal Trust Co.**
- > **Confidential Planning, LLC**
- > **Faculty Services Corp.***
- > **Fidelity / IPX**
- > **Foresters Financial**
- > **FPS Group - Investment Provider Xchange***
- > **Global Atlantic Financial Group**
- > **GLP Investment Services, LLC**
- > **Great American Insurance Group**
- > **GWN Securities, Inc.***
- > **Horace Mann Insurance Co.***
- > **Invesco OppenheimerFunds**
- > **Kades-Margolis**
- > **Lincoln Financial Group**
- > **Lincoln Investment Planning, Inc.***
- > **Mass Mutual Financial Group**
- > **MEA Financial Services**
- > **MetLife Resources**
- > **Midland National Life**
- > **Mutual Inc.***
- > **National Life Group (LSW)**
- > **New York Life Insurance & Annuity Corp.**
- > **Oldham Resource Group, Inc.***
- > **Orion Portfolio Solutions, LLC**
- > **PFS Investments Inc/Primerica**
- > **PlanMember Services Corp***
- > **Security Benefit**
- > **Sgroi Financial, LLC***
- > **Siracusa Benefits Programs**
- > **TEG Federal Credit Union**
- > **The Legend Group**
- > **Thrivent Financial for Lutherans**
- > **TIAA-CREF**
- > **Vanguard**
- > **Vanguard / IPX**
- > **Voya Financial (Formerly ING)**
- > **Waddell & Reed Companies**

P3 BENEFITS

- > Significant cost savings - **No hidden fees**
- > Includes over **30 leading 403(b) Investment Providers** with options to accommodate all participants
- > Investment Providers awarded P3 status **after thorough examination completed** through independent committee's due diligence process



OMNIP3